IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Serial No.: 10/058,835

Group Art Unit: 1614

Filed: January 30, 2002

Examiner: Unassigned

For:

METHODS FOR SUSTAINED RELEASE LOCAL

DELIVERY OF DRUGS FOR ABLATION OF UNWANTED TISSUE

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.56, 1.97 and 1.98

**Assistant Commissioner for Patents** Washington, D. C. 20231

Sir:

### **CITED MATERIALS**

Applic	ant(s) hereby disclose the information listed in the attached form PTO-1449.							
	Copies of materials listed but not attached were cited in parent application Serial No; see 37 C.F.R. § 1.98(d).							
	Copies of materials listed but not attached were cited in an International Search Report dated; receipt of the International Search Report and copies of references was confirmed by the PCT International Division of the U.S. PTO in the Notice of Acceptance mailed							
LANG	<u>SUAGE</u>							
	All listed materials are in the English language; see 37 C.F.R. § 1.98.							
	Non-English language references:							
	☐ The reference(s): in the English-language is (are) indicated by commercial data bases to correspond to the reference(s): , respectively.							
	☐ (An) English-language translation(s) of the references: is (are) provided.							
	☐ A commercial English-language abstract of reference(s) is (are) provided.							
	An English-language search report or an equivalent paper from a foreign patent office translated into English in pertinent part in connection with a counterpart foreign application, is provided indicating the relevance of the cited reference(s).							

#### **FEES**



No fee is required for this Information Disclosure Statement because:

This Information Disclosure Statement is being filed within three more of the filing date of a national application (other than a CPA) under § 1.53(der within 3 months of the date of entry of the national stage under § 1.491 in application;

This Information Disclosure Statement is being filed before the mailing of a first action on the merits;

This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that closes prosecution in the application, and is accompanied by a certification below.

☐ This Information Disclosure Statement is filed under 37 C.F.R. § 1.97(i).

☐ A fee is required for this Information Disclosure Statement:

This Information Disclosure Statement is being filed after a first action on the merits but before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311 or an action that closes prosecution, and is accompanied by the fee set forth in §1.17(p).

This Information Disclosure Statement is being filed after the mailing date of a final rejection or a notice of allowance under § 1.311 or an action that closes prosecution, but before the payment of the issue fee, and is accompanied by the fee set forth in § 1.17(p) and a certification below.

### **CERTIFICATION**

- Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned, having made reasonable inquiry, no such item was known to any individual designated in 37 CFR § 1.56(c), more than three months prior to the filing of this statement.

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## **DEPOSIT ACCOUNT**

If a fee is due, attached is a check in the amount of \$\sum\_\$. However, the Commissioner is hereby authorized to charge fees under 37 CFR § 1.16 and § 1.17 which may be required to a facilitate this filing, or credit any overpayment to Deposit Account #13-3402, two copies of this paper are attached for this purpose.

Respectfully submitted,

John A. Sopp, 109. No. 33,103

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Attorney Docket No.: UMICH-11

Date: November 1, 2002

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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MA	Complete if Known	-	
Application Number	10/058,835		
Filing Date	January 30, 2002	F	
First Named Inventor	RICHARDSON ET	ALS	7 :
Group Art Unit	1614	C	0 1
Examiner Name	Unassigned	里	~ (
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					U.S. PATENT DOCUM	MENTS	6002
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Examiner Initials *	Cite No. <sup>1</sup>	Number	Kind Cod (if known		Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Pages, Columns, Lines, What Relevant Passages or Relevant Figures Appear
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				FOREIG	N PATENT DOCU	MENTS		
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	001	WIPO	WO 02/060410		Univ. of Michigan	8/8/2002		
	002	WIPO	WO 98/46211		Amgen Inc.	10/22/1998		
	003	FR	2762319		Pharma Biotech Societe Anonyme	10/23/1998		

Examiner Signature	Date Considered	

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<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark hee if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	January 30, 2002		
				First Named Inventor	RICHARDSON et al.		
				Group Art Unit	1614		
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Sheet	2	Of	2	Attorney Docket Number	LIMICH-11		

		OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS	
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
	004	M. IWATA et al., In vitro and in vivo release properties of brilliant blue and tumor necrosis factor-alpha (TNF-q) from poly(D,L-lactic-co-glycolic acid) multiphase microspheres, J. Microencapsulation, 1999, Vol. 16, No. 6, 777-792	
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